

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**Substitute for form 1449A/PTO**

OCT. 08 2003

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(use as many sheets as necessary)*

Sheet 1 of 2

C mplete if Known	
Application Number	09/457,109
Filing Date	12/07/1999
First Named Inventor	Darryl E. Rubin et al.
Art Unit	2176
Examiner Name	Nguyen, M.
Attorney Docket Number	003797.81487

## **U.S. PATENT DOCUMENTS**

**RECEIVED**

OCT 09 2003

Technology Center 2100

## **FOREIGN PATENT DOCUMENTS**

**Examiner  
Signature**

Markhamqueen

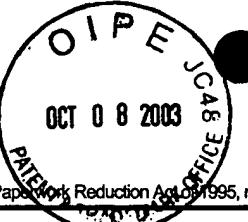
**Date  
Considered**

03/08/04

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

2 of 2

Completeness

If Known

Application Number	09/457,109
Filing Date	12/07/1999
First Named Inventor	Darryl E. Rubin et al.
Group Art Unit	2176
Examiner Name	Nguyen, M.

Attorney Docket Number

RECEIVED  
OCT 09 2003  
Technology Center 2100

### OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
MIC		Hirotsu et al. "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359.	
MK		Kuo et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598.	
MK		"Hucklefine Defined", 4/1990, " <a href="http://www.yalem.org/artists/mmosher/huck.html">http://www.yalem.org/artists/mmosher/huck.html</a> ", pages 1-3	
MK		"Tour of Korea Hypercard Stack", 1996, Grose Educational Media, " <a href="http://www.entrenet.com/~groedmed/kor2.html">http://www.entrenet.com/~groedmed/kor2.html</a> ", pages 1-2.	
MK		Bizweb2000.com - screenshots of an e-book, published on 5/1999, pages 1-4 <a href="http://web.archive.org/web/">http://web.archive.org/web/</a> / <a href="http://www.bizweb2000.com/eshots.htm">http://www.bizweb2000.com/eshots.htm</a> .	

Examiner Signature

*Markhanh Nguyen*

Date Considered

03/08/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Computer User Interface Architecture Wherein Both Content and User Interface are Composed of Documents with Links																																																																			
Application Number:	09/457109																																																																			
Confirmation Number:	7310																																																																			
First Named Applicant:	Darryl Rubin																																																																			
Attorney Docket Number:	003797.81487																																																																			
Art Unit:	2176																																																																			
Examiner:	M Nguyen																																																																			
Search string:	( 5680636 or 5727129 or 5838914 or 5893132 or 6049812 or 6195694 or 6199082 or 6486895 or 6549220 or RE34476 or 5471568 or 5719595 or 5940080 ).pn.																																																																			
<b>RECEIVED</b> DEC 01 2003 Technology Center 2100																																																																				
<p><b>Certification:</b> This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:</p> <p>That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.</p>																																																																				
<p><b>US Patent Documents</b></p> <p>Note: Applicant is not required to submit a paper copy of cited US Patent Documents</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>init</th> <th>Cite.No.</th> <th>Patent No.</th> <th>Date</th> <th>Patentee</th> <th>Kind</th> <th>Class</th> <th>Subclass</th> </tr> </thead> <tbody> <tr> <td>MK</td> <td>1</td> <td>5680636</td> <td>1997-10-21</td> <td>Levine et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>MK</td> <td>2</td> <td>5727129</td> <td>1998-03-10</td> <td>Barrett et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>MK</td> <td>3</td> <td>5838914</td> <td>1998-11-17</td> <td>Carleton et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>MK</td> <td>4</td> <td>5893132</td> <td>1999-04-06</td> <td>Huffman et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>MK</td> <td>5</td> <td>6049812</td> <td>2000-04-11</td> <td>Bertram et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>MK</td> <td>6</td> <td>6195694</td> <td>2001-02-27</td> <td>Chen et al.</td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>					init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass	MK	1	5680636	1997-10-21	Levine et al.				MK	2	5727129	1998-03-10	Barrett et al.				MK	3	5838914	1998-11-17	Carleton et al.				MK	4	5893132	1999-04-06	Huffman et al.				MK	5	6049812	2000-04-11	Bertram et al.				MK	6	6195694	2001-02-27	Chen et al.											
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass																																																													
MK	1	5680636	1997-10-21	Levine et al.																																																																
MK	2	5727129	1998-03-10	Barrett et al.																																																																
MK	3	5838914	1998-11-17	Carleton et al.																																																																
MK	4	5893132	1999-04-06	Huffman et al.																																																																
MK	5	6049812	2000-04-11	Bertram et al.																																																																
MK	6	6195694	2001-02-27	Chen et al.																																																																

MK	7	6199082	2001-03-06	Ferrel et al.
MK	8	6486895	2002-11-26	Robertson et al.
MK	9	6549220	2003-04-15	Hsu et al.
MK	10	RE34476	1993-12-14	Norwood
MK	11	5471568	1995-11-28	Webb et al.
MK	12	5719595	1998-02-17	Hoddie et al.
MK	13	5940080	1999-08-17	Ruehle et al.

## Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. The references contained in this information disclosure statement were cited by the Examiner in a related application. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

## Signature

Examiner Name	Date
<i>Mark Hanh Nguyen</i>	03/08/04



## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of Invention	Computer User Interface Architecture Wherein Both Content and User Interface are Composed of Documents with Links
--------------------	---

Application Number: 09/457109



Confirmation Number: 7310

First Named Applicant: Darryl Rubin

RECEIVED

JAN 23 2004

Attorney Docket Number: 003797.81487

Technology Center 2100

Art Unit: 2176

Examiner: M Nguyen

Search string: ( 5437295 or 6018342 or 6647534 ).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

### US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
Mk	1	5437295	1994-09-13	AguInnick et al.			
Mk	2	6018342	2000-01-25	Bristor			
Mk	3	6647534	2003-11-11	Graham			

### Remarks

Note: Remarks are not for responding to an office action.

In accordance with Applicants' duty of disclosure, the following information is submitted for consideration by the United States Patent and Trademark Office in connection with

the above-captioned application. The information is identified on the attached PTO 1449 form. Applicants do not waive any right to take appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed Form PTO-1449 in the appropriate place to indicate that the document has been considered, and return a copy of the initialed form to the undersigned in accordance with MPEP Section 609. The undersigned certifies under 37 CFR 1.97(e)(2) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. Applicants believe that no fee is necessary pursuant to 37 CFR 1.97(c). However, if a fee is due, the Office is authorized to charge Deposit Account No. 19-0733.

Signature

Examiner Name	Date
<i>Malkhanhnguyen</i>	03/08/04